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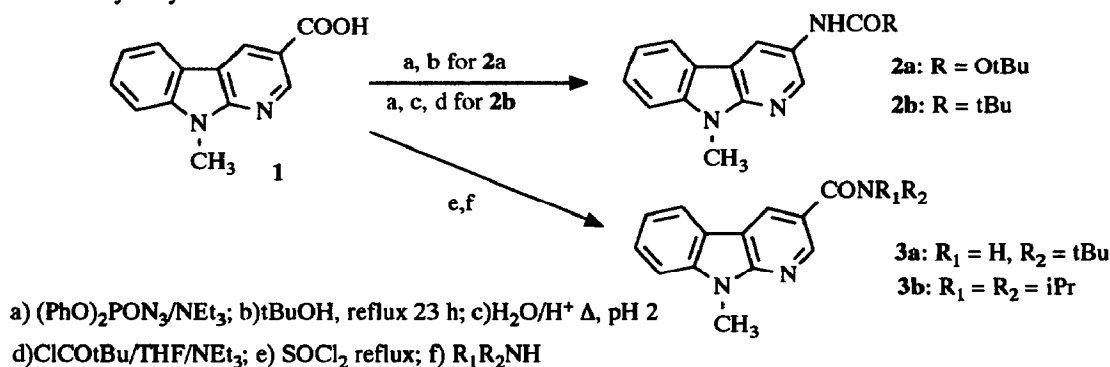
## Study of the Lithiation of 3-Substituted $\alpha$ -Carbolines A New Route to 3,4-Disubstituted Derivatives

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**Abstract:** The synthesis of new 3-substituted  $\alpha$ -carbolines is described and these products were subjected to ortho-lithiation experiments. 3-pivalamido and 3-carboxamido derivatives are cleanly lithiated at 4-position. The results are correlated with MNDO calculations. Various 3,4-disubstituted  $\alpha$ -carbolines are obtained in excellent yields.

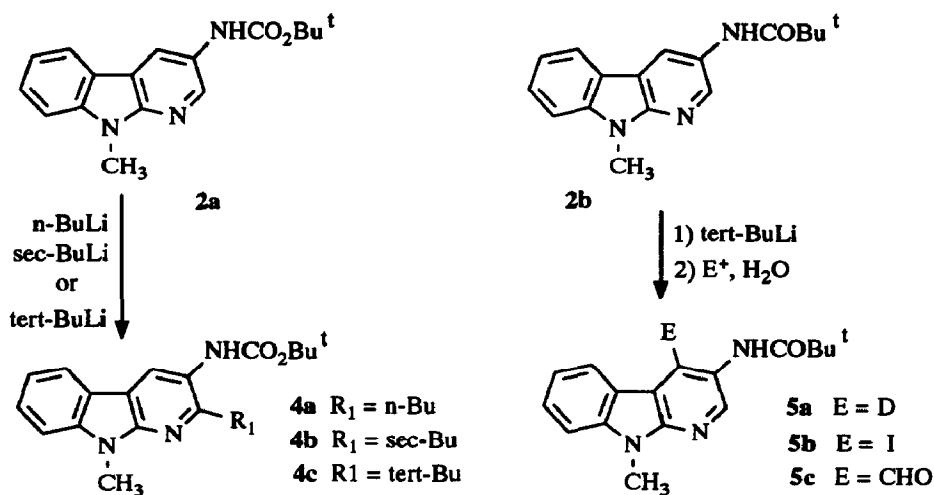
Our group has been interested for a long time in the metalation of  $\pi$ -deficient heterocycles. Good results were obtained in the pyridine and diazines series.<sup>1</sup> Nowadays, the association between metalation and cross-coupling reactions has become a powerful tool to obtain a large variety of natural products.<sup>2</sup> We found interesting to study the metalation of tricyclic structures like  $\alpha$ -carbolines because there is a lack of functionalization methods affording 2,3- or 3,4-disubstituted products in this series. Moreover, these compounds may be versatile intermediates in the synthesis of natural products, like grossularines- 1 or -2,<sup>3</sup> or tetracyclic structures possessing an indole ring. A recent report of Dodd *et al*<sup>4</sup> concerning the metalation of some  $\beta$ -carboline derivatives prompted us to describe our own results in the  $\alpha$ -carboline series. We have recently reported the synthesis of 9-methyl[9H]pyrido[2,3-b]indole-3-carboxylic acid **1** starting from the readily available 1-methyl-2-tosylaminoindole, in an easy one pot process followed by alkaline hydrolysis of the intermediate carbonitrile.<sup>5,6</sup>



Scheme 1

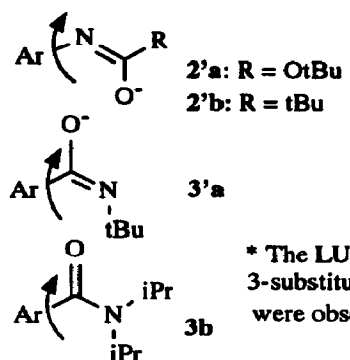
Starting from carboxylic acid **1**, we synthesized compounds **2a-b** and **3a-b** possessing as orthodirecting metalation groups (DMG): *N*-substituted carboxamides, pivalamido and *N*-(*tert*-butyloxycarbonylamino) functions (Scheme 1). To obtain the amino derivatives, we used a variation of the Curtius reaction.<sup>7</sup> After reaction of **1** with diphenylphosphoryl azide, the intermediate carbonyl azide was refluxed with *tert*-butylalcohol leading to the carbamate **2a** in a 70 % overall yield,<sup>8</sup> or was heated in acidic water, and the amine subsequently treated with pivaloyl-chloride leading to carboxamide **2b** in a 72 % overall yield.<sup>8</sup> Finally, when acid **1** was reacted with thionyl chloride and subsequently treated with *tert*-butylamine or diisopropylamine the carboxamido derivatives **3a** and **3b** were obtained in 80 % and 90 % yields respectively.<sup>8</sup>

#### Metalation of amine derivatives **2a-b**:



Scheme 2

We first studied the metalation reaction of carbamate **2a** (Scheme 2), with various alkylolithiums under conditions used in the pyridine series.<sup>7</sup> But after quenching with EtOD, we obtained only mixtures of unreacted **2a** and **4a-c** resulting from nucleophilic addition of the alkylolithium probably to the 2-position of the  $\alpha$ -carbolin. The aromatization of the intermediate dihydropyridine derivative occurred during the work-up and compounds **4a-c** were always isolated after flash chromatography. The study of CPK molecular models and modelisation softwares showed that the 4-position of the pyridine ring is sterically crowded by the bulky carbamate group and the vicinity of the  $H_5$  hydrogen on the benzene ring (the distance between the two hydrogens was about 2,7 Å as shown by modelisation softwares).<sup>9</sup> As a consequence a *tert*-butyl group could not be introduced in the 4-position and addition occurred in 2-position. Some experiments were performed with methyl lithium or lithium 2,2,6,6-tetramethylpiperidide (LTMP, in order to avoid addition) but unreacted **2a** was always obtained. In order to rationalize these surprising results and to try to make previsions concerning the best DMG, we performed some MNDO calculations<sup>9</sup> with  $\alpha$ -carbolin derivatives **2a-b** and **3a-b**. The LUMO energies were compared to that obtained with the corresponding pyridine analogues. Obviously, in the case of **2a-b** and **3a**, the computed structures respectively **2'a-b** and **3'a** were intermediates resulting from N-H abstraction by the first equivalent of alkylolithium (Table 1).



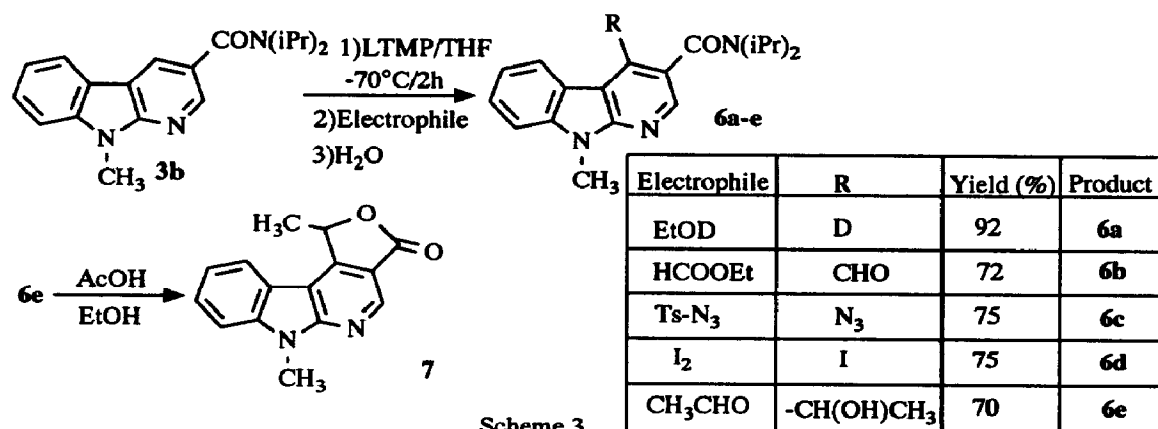
Computed structure *	$E_{\text{LUMO}}$ (eV)	
	Ar = 3-carboline	Ar = 3-pyridyl
2'a	1.7	4.3
2'b	2.7	4.4
3'a	2.1	3.3
3b	-0.56	-0.47

\* The LUMO energies were computed for various conformations of the 3-substituent (s-cis and s-trans were also considered) and only small changes were observed. As a consequence, averaged values are given in the table.

Table 1

The calculation results on carboline and pyridine derivatives depend at a small extent on the actual conformation of the 3-substituent. As shown in Table 1, the LUMO energies of carboline derivatives are always lower than those of their homologs in the pyridine series. This fact could explain the observed addition reactions.<sup>4</sup> However, the difference is smaller in the case of pivalamide 2b (intermediate 2'b) and we decided to carry out the metalation experiments with this compound. With *n*-BuLi (-70°C or -20°C) or *sec*-BuLi (-40°C), only mixtures of the starting material and the aromatized addition products were observed. However, with *tert*-BuLi in THF at -70°C, with a reaction time of 6 hours and quenching with EtOD (Scheme 2) we observed the formation of a deuterated compound 5a in good yield (80 %, with five equivalents of *tert*-BuLi). Carefull analysis of the NMR spectra of 5a compared to that of 2b showed unambiguously that deuterium incorporation had occurred in the 4-position of the pyridine ring of the  $\alpha$ -carboline system. Comparatively, in the pyridine series metalation also occurred at C-4 with *n*-BuLi, but at higher temperature.<sup>10</sup> In order to confirm the synthetic interest of this new metalation reaction, we quenched the reaction medium with iodine or ethyl formate and obtained an iodo derivative 5b and a formyl derivative 5c.<sup>11</sup>

#### Metalation of carboxamide derivatives 3a-b:



Scheme 3

While metalation experiments on compound 3a always afforded mixtures of addition product and unreacted 3a, 3b was cleanly lithiated at carbon C-4 under the conditions used in the pyridine series (LTMP, -70 °C).<sup>12</sup>

These experimental results can again be rationalized with MNDO calculations.<sup>9</sup> As can be seen in Table 1, the LUMO energies of the carboline carboxamides are again lower than those of the pyridine series. However the difference is very small in the case of diisopropylcarboxamides **3b** and rather important in the case of mono-tert-butylcarboxamides. After metalation of **3b**, the reaction mixture was quenched with various electrophiles (Scheme 3) and 3,4-disubstituted  $\alpha$ -carbolines **6a-e** were obtained with good yields. The regioselectivity of the metalation reaction was confirmed by the important deshielding of proton H-5 in the NMR spectra of compounds **6b-e**<sup>13</sup> and nuclear Overhauser experiments. Acid hydrolysis of the carboxamide **6e** gave the lactone **7** with a non-optimized yield of 50 %.<sup>14</sup>

#### REFERENCES AND NOTES

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8. **2a**: mp = 179 °C. <sup>1</sup>H NMR (DMSO d-6, 200 MHz):  $\delta$  1.49(s, 9H); 3.86(s, 3H); 7.19-7.26(m, 1H, H<sub>6</sub>); 7.46-7.60(m, 2H, H<sub>7,8</sub>); 8.13(m, 1H, H<sub>5</sub>); 8.42(d, J = 2.3 Hz, 1H, H<sub>2</sub>); 8.62(m, 1H, H<sub>4</sub>); 9.44(m, 1H, NH). **2b**: mp = 194 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.40(s, 9H); 3.88(s, 3H); 7.22-7.57(m, 3H, H<sub>6-7,8</sub>); 7.59(m, 1H, NH); 8.01(m, 1H, H<sub>5</sub>); 8.32(d, J = 2.4 Hz, 1H, H<sub>2</sub>); 8.77(d, J = 2.4 Hz, 1H, H<sub>4</sub>). It must be pointed out that, in the case of compounds **2a-b**, the proton H<sub>4</sub> is more deshielded than the proton H<sub>2</sub>. This inversion of chemical shifts was confirmed by nuclear Overhauser experiments (N.O.E.) on H<sub>5</sub> and H<sub>4</sub> which are separated by only 2.7 Å. **3a**: mp = 178 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 60 MHz):  $\delta$  1.5(s, 9H); 3.9(s, 3H); 6.1(m, 1H, NH); 7.15-7.6(m, 3H, H<sub>6-7,8</sub>); 7.9-8.1(m, 1H, H<sub>5</sub>); 8.6(d, J = 2 Hz, 1H, H<sub>4</sub>); 8.8(d, J = 2 Hz, 1H, H<sub>2</sub>). **3b**: mp = 139 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.43(m, 12H); 3.7-3.99(m, 5H); 7.28-7.36(m, 1H, H<sub>6</sub>); 7.46-7.62(m, 2H, H<sub>7,8</sub>); 8.08(m, 1H, H<sub>5</sub>); 8.36(d, 1H, H<sub>4</sub>); 8.51(d, 1H, H<sub>2</sub>). For compounds **3a-b**, no inversions of chemical shifts between H<sub>4</sub> and H<sub>2</sub> were observed, as confirmed by N.O.E. experiments.
9. The geometries were first determined after minimization with PCMODEL and MNDO calculations were then performed with MOPAC 6.00 on a PC 486 DX computer.
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11. **5b**: <sup>1</sup>H NMR (DMSO d-6, 200 MHz):  $\delta$  1.31(s, 9H); 3.92(s, 3H); 7.39(m, 1H, H<sub>6</sub>); 7.67(m, 2H, H<sub>7,8</sub>); 8.16(s, 1H, H<sub>2</sub>); 8.83(m, 1H, H<sub>5</sub>); 9.35(broad, 1H, NH). **5c**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.44(s, 9H); 3.94(s, 3H); 7.27 (m, 1H, H<sub>6</sub>); 7.46(m, 1H, H<sub>7</sub>); 7.58(m, 1H, H<sub>8</sub>); 8.07(m, 1H, H<sub>5</sub>); 9.91(s, 1H, H<sub>2</sub>); 10.97(broad, 1H, NH); 11.16(s, 1H, CHO). <sup>1</sup>H NMR (DMSO d-6, 200 MHz):  $\delta$  1.30(s, 9H); 3.93(s, 3H); 7.29(m, 1H, H<sub>6</sub>); 7.64(m, 2H, H<sub>7,8</sub>); 8.67(s, 1H, H<sub>2</sub>); 8.74(m, 1H, H<sub>5</sub>); 10.08(broad, 1H, NH); 10.33(s, 1H, CHO).
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13. **6b**: mp (dec) = 211 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.0-1.8(m, 12H); 3.70(m, 2H); 4.02(s, 3H); 7.37(m, 1H, H<sub>6</sub>); 7.52(m, 1H, H<sub>7</sub>); 7.66(m, 1H, H<sub>8</sub>); 8.51(s, 1H, H<sub>2</sub>); 8.89(m, 1H, H<sub>5</sub>); 10.69(s, 1H, CHO). **6c**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.2-1.8(m, 12H); 3.62(m, 2H); 3.95(m, 3H); 7.33(m, 1H, H<sub>6</sub>); 7.49(m, 2H, H<sub>7,8</sub>); 8.35(m, 1H, H<sub>5</sub>). **6d**: mp = 244 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 60 MHz):  $\delta$  1.2 and 1.65(m, 12H); 3.65(q, J = 7 Hz, 2H); 3.95(s, 3H); 7.2-7.75(m, 3H, H<sub>6-7,8</sub>); 8.1(s, 1H, H<sub>2</sub>); 8.9(m, 1H, H<sub>5</sub>). **6e**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.1-2.0(m, 15H); 3.57(m, 2H); 3.97(s, 3H); 5.72(q, J = 7 Hz, 1H); 7.2-7.6(m, 3H, H<sub>6-7,8</sub>); 8.23(m, 1H, H<sub>5</sub>); 8.27(s, 1H, H<sub>2</sub>).
14. **7**: mp = 190 °C. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$  1.88(d, J = 6.7 Hz, 3H); 4.05(s, 3H); 5.94(q, J = 6.7 Hz, 1H); 7.43(m, 1H, H<sub>6</sub>); 7.60(m, 2H, H<sub>7,8</sub>); 7.96(m, 1H, H<sub>5</sub>); 9.02(s, 1H, H<sub>2</sub>).

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